

06/15/01
J1049 U.S. PTO

06-18-01

A / REISSUE
\$

Atty. Dkt. No. 018483-0710

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joachim Horsch
Title: HYDRO-MECHANICAL
TRANSMISSION
Prior Appl. No.: 09/496,181
Prior Appl. Filing Date: 1/31/2000
Examiner: To Be Determined
Art Unit: To Be Determined

CERTIFICATE F EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231.	
EL71640044705	June 15, 2001
(Express Mail Label Number)	(Date of Deposit)
Deborah A. Kocorawski	
(Printed Name)	
Deborah A. Kocorawski	
(Signature)	

09/882928
06/15/01

CONTINUING REISSUE PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box REISSUE
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b), 37 CFR § 1.173, and 37 CFR § 1.177 is a:

☐ Continuation ☒ Division ☐ Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application.

☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification and Claims in double column copy of patent format (amended per 37 CFR § 1.173(b)) (11 pages).
- ☒ Drawings (3 sheets, Figures 1-3).
- ☒ Copy of Reissue Oath/Declaration (2 pages).
- ☒ Copy of Written Consent of Assignee (1 page).
- ☒ Copy of Statement Under 37 CFR 3.73(b) (1 page).
- ☒ Information Disclosure Statement (1 page).

☒ Form PTO-1449 with copies of 24 listed reference(s).

The filing fee is calculated below:

	Claims as Amended	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	11	- 20	= 0	x \$18.00	= \$0.00
Independents:	2	- 3	= 0	x \$80.00	= \$0.00
If any Multiple Dependent Claim(s) present:			+ 0	+ \$270.00	= \$0.00
				SUBTOTAL:	= \$710.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):					= \$0.00
				TOTAL FILING FEE:	= \$710.00

☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 6/15/01

By Jeffrey S. Gundersen

FOLEY & LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5897
Facsimile: (414) 297-4900

Jeffrey S. Gundersen
Attorney for Applicant
Registration No. 47,619

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joachim Horsch
Title: HYDRO-MECHANICAL
TRANSMISSION
Appl. No.: To Be Determined
Filing Date: 06/15/2001
Examiner: To Be Determined
Art Unit: To Be Determined

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231.	
<u>E171640044705</u>	<u>June 15 2001</u>
(Express Mail Label Number)	(Date of Deposit)
<u>Deborah A. Kocorowski</u>	
(Printed Name)	
<u>Deborah A. Kocorowski</u>	
(Signature)	

11033 U.S. PTO
09/882928
06/15/01

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (3 sheets, Figures 1-3) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date

6/15/01

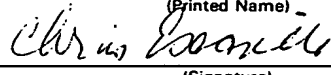
By

Jeffrey S. Gundersen

FOLEY & LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5897
Facsimile: (414) 297-4900

Jeffrey S. Gundersen
Attorney for Applicant
Registration No. 47,619

Applicant: Joachim Horsch
Title: HYDRO-MECHANICAL
TRANSMISSION
Patent No.: 5,865,700
Issue Date: 2/2/99
Appl. No.: To be determined
Filing Date: To be determined
Examiner: To be determined
Art Unit: To be determined

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
EL470737235US (Express Mail Label Number)	1/31/00 (Date of Deposit)
Chris Escavaille (Printed Name)	
 (Signature)	



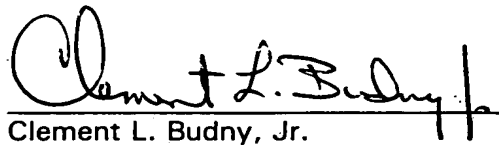
WRITTEN CONSENT OF ASSIGNEE

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Case Corporation, assignee of U.S. Patent No. 5,865,700, consents to the filing of the above-captioned present application for reissue of U.S. Patent No. 5,865,700.

Jan. 28, 2000
Date


Clement L. Budny, Jr.
Associate General Counsel
Case Corporation

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Joachim Horsch

Application No./Patent No.: 5,865,700 Filed/Issue Date: 2/2/99

Entitled: HYDRO-MECHANICAL TRANSMISSION

Case Corporation, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Joachim Horsch To: Case Corporation
The document was recorded in the Patent and Trademark Office at
Reel 8530, Frame 0692, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE:] A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Jan 28, 2000
Date

Clement L. Budny, Jr.
Signature

Clement L. Budny, Jr.

Typed or printed name

Associate General Counsel

Title